

ACCREDITATION CRITERIA FOR MANAGEMENT SYSTEM CERTIFICATION BODIES

AC477

September 2018
Effective November 1, 2018

PREFACE

The attached accreditation criteria have been issued to provide all interested parties with guidelines on implementing performance features of the applicable standards referenced herein. The criteria were developed and adopted following public hearings conducted by the International Accreditation Service, Inc. (IAS), Accreditation Committee and are effective on the date shown above. All accreditations issued or reissued on or after the effective date must comply with these criteria. If the criteria are an updated version from a previous edition, solid vertical lines (|) in the outer margin within the criteria indicate a technical change or addition from the previous edition. Deletion indicators (→) are provided in the outer margins where a paragraph or item has been deleted if the deletion resulted from a technical change. These criteria may be further revised as the need dictates.

IAS may consider alternate criteria provided the proponent submits substantiating data demonstrating that the alternate criteria are at least equivalent to the attached criteria and otherwise meet applicable accreditation requirements.

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ACCREDITATION CRITERIA FOR MANAGEMENT SYSTEM CERTIFICATION BODIES

1. INTRODUCTION

- 1.1. **Scope:** These criteria set forth the requirements for obtaining and maintaining International Accreditation Service, Inc. (IAS), Management System Certification Body accreditation. The criteria supplement the IAS Rules of Procedure for Management System Certification Bodies.
- 1.2. **Normative and Reference Documents:** Publications listed below refer to current editions (unless otherwise stated).
 - 1.2.1 ISO/IEC Standard 17021-1, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 1: Requirements.
 - 1.2.2 ISO/IEC Standard 17021 series of standards as applicable.
 - 1.2.3 All IAF Mandatory Documents (MD).
(http://www.iaf.nu/articles/Mandatory_Documents_/38)
 - 1.2.4 IAF Resolutions related to accreditation bodies and transitions as available on the IAF website.
 - 1.2.5 All IAF Informative Documents (ID).
(http://www.iaf.nu/articles/Informative_Documents_/32)
 - 1.2.6 ISO/IEC Standard 17000, Conformity assessment – Vocabulary and general principles.

2. DEFINITIONS

Applicable definitions found in ISO/IEC Standard 17000 series apply.

- 2.1. **APAC:** Asia Pacific Accreditation Cooperation
- 2.2. **CAB:** Conformity Assessment Body
- 2.3. **IAF:** International Accreditation Forum
- 2.4. **MSCB:** Management System Certification Body
- 2.5. **Witness Audits:** Certification audits that are observed by an IAS assessor

3. ELIGIBILITY

- 3.1. Accreditation services are available to all third-party Management System Certification Bodies that meet the following requirements:
 - 3.1.1 Have at least one certified customer
 - 3.1.2 Have completed at least one Internal Audit and one Management Review
 - 3.1.3 Have a management system based on the requirements of the ISO/IEC 17021 series of standards (as applicable)

3.1.4 Have at least one auditor or pool of auditors approved for each IAF technical cluster, critical code, technical area or category in the requested scope of accreditation

3.2. Accreditation services are available for all third-party Management System Certification Bodies who provide certification for the disciplines listed in Annex A and Annex B (Glossary). These publications refer to current editions (unless otherwise stated). IAS may consider requests for recognition of other disciplines on a case-by-case basis.

4. REQUIRED BASIC INFORMATION

4.1. **Applicant Management System:** Certification bodies must provide the following when seeking a quote for accreditation:

- IAS Pre-application form
 - Formal application through IAS portal, <https://portal.iasonline.org/get-a-quote/>

4.2. **Applicant Management System:** Certification bodies must provide the following prior to IAS scheduling of an initial onsite assessment:

- 4.2.1 IAS application form and associated fee
- 4.2.2 Proposed scope request
- 4.2.3 Quality documentation and associated procedures
- 4.2.4 List of all auditors and decision makers, including information on the IAF codes, categories or technical areas for which listed individuals have demonstrated competence
- 4.2.5 List of all certified customers
- 4.2.6 List of entities operating on behalf of the certification body (this includes branch offices, franchisees, subcontractors, etc.)
- 4.2.7 List of countries in which certifications have been issued

4.3. **Management System:** Certification bodies must provide the following prior to IAS onsite assessment:

- 4.3.1 Schedule and information for witness audits to be conducted
- 4.3.2 Auditor documents (confidentiality/impartiality agreement, contract, competence evaluation)
- 4.3.3 Audit program (full certification cycle)
- 4.3.4 Audit plan (specific to audit being witnessed)
- 4.3.5 Stage 1 results (if applicable)
- 4.3.6 Logistical arrangements (e.g., travel, accommodation) for assessment team

4.3.7 Updated quality documentation and associated procedures

5. ADDITIONAL INFORMATION (AS APPLICABLE)

- 5.1. All requested scopes must be in the format used in the IAS MSCB pre-application form.

- 5.2. Additional standards and guidelines will be used when performing assessments of the respective certification disciplines as listed in Annex A and Annex B (Glossary). These publications refer to current editions (unless otherwise stated).

6. LINKS TO ADDITIONAL REFERENCES

- 6.1. Food Safety System Certification (FSSC) – www.fssc22000.com
- 6.2. International Accreditation Forum (IAF) – www.iaf.nu
- 6.3. International Organization for Standardization (ISO) – www.iso.org
- 6.4. Pacific Accreditation Cooperation (PAC) – www.apec-pac.org

These criteria were previously issued June 2013, February 2014, February 2015, February 2016 and April 2017.

Annex A: Informational Purpose only. Reference may get outdated, these will be updated as needed.

Program Status	IAS Abbreviation	Main Standard	Additional Accreditation Level Document(s)	Certification Standard(s)
Currently Active	QMS (Quality)	ISO/IEC 17021-1:2015	ISO/IEC 17021-3:2017	ISO 9001:2015
	EMS (Environmental)	ISO/IEC 17021-1:2015	ISO/IEC 17021-2:2016	ISO 14001:2015
	OHSAS (Occupational)	ISO/IEC 17021-1:2015	ISO/IEC TS 17021-10:2018	OHSAS 18001:2007 ISO 45001:2017
	FSMS (Food Safety)	ISO/IEC 17021-1:2015	ISO/TS 22003:2013	ISO 22000:2005 ISO 22000:2018
	FSSC (Food System)	ISO/IEC 17021-1:2015	ISO/TS 22003:2013	FSSC 22000 V.4.1
	ISMS (Information Security)	ISO/IEC 17021-1:2015	ISO/IEC 27006:2015	ISO/IEC 27001:2013
	ITSMS (Info. Tech. Service)	ISO/IEC 17021-1:2015	ISO/IEC 20000-6:2017	ISO/IEC 20000-1:2011
	BCMS (Business Continuity)	ISO/IEC 17021-1:2015	ISO/IEC TS 17021-6:2014	ISO 22301:2012
	MED-QMS (Medical Quality)	ISO/IEC 17021-1:2015	N/A	ISO 13485:2003 ISO 13485:2016
	EnMS (Energy)	ISO/IEC 17021-1:2015	ISO 50003:2014	ISO 50001:2011
	ABMS (Anti-Bribery)	ISO/IEC 17021-1:2015	ISO/IEC TS 17021-9:2016	ISO 37001:2016
	GHG-VVB (Green House Gas)	ISO/IEC 17021-1:2015	ISO 14066:2011 ISO 14064-1:2006 ISO 14064-2:2006 ISO 14064-3:2006	ISO 14065:2013
	AMS (Asset Man.)	ISO/IEC 17021-1:2015	ISO/IEC TS 17021-5:2014	ISO 55001:2014
New/Pilot	LS-ET-SP (Learning Services)	ISO/IEC 17021-1:2015	N/A	ISO 29990:2010
	ESMS (Event Sustain.)	ISO/IEC 17021-1:2015	ISO/IEC TS 17021-4:2013	ISO 20121:2012
	RTSMS (Road Traffic)	ISO/IEC 17021-1:2015	ISO/IEC TS 17021-7:2014	ISO 39001:2012
	SDCMS (Sustain. Comm. Dev.)	ISO/IEC 17021-1:2015	ISO/IEC WD TS 17021-8 (Currently Under Development)	ISO 37101:2016
	FMMS (Facility Management)	ISO/IEC 17021-1:2015	ISO/IEC DTS 17021-11 (Currently Under Development)	ISO 41001:2018

Annex B

Glossary

- **ISO 9001: Quality management systems — Requirements**
 - ISO/IEC 17021-3: Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 3: Competence requirements for auditing and certification of quality management systems
- **ISO 14001: Environmental management systems — Requirements with guidance for use**
 - ISO/IEC 17021-2: Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 2: Competence requirements for auditing and certification of environmental management systems
- **OHSAS 18001: Occupational health and safety management systems - Requirements**
- **ISO 45001: Occupational health and safety management systems — Requirements with guidance for use**
 - ISO/IEC TS 17021-10: Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 10: Competence requirements for auditing and certification of occupational health and safety management systems
- **ISO 22000: Food safety management systems — Requirements for any organization in the food chain**
 - ISO/TS 22003: Food safety management systems — Requirements for bodies providing audit and certification of food safety management systems
- **FSSC 22000: Food safety management systems — Requirements for any organization in the food chain (Food Safety System Certification Scheme 4.1)**
 - ISO/TS 22003: Food safety management systems — Requirements for bodies providing audit and certification of food safety management systems
- **ISO/IEC 27001: Information technology — Security techniques — Information security management systems — Requirements**
 - ISO/IEC 27006: Information technology — Security techniques — Requirements for bodies providing audit and certification of information security management systems
- **ISO/IEC 20000-1: Information technology — Service management — Part 1: Service management system requirements**
 - ISO/IEC 20000-6: Information technology — Service management — Part 6: Requirements for bodies providing audit and certification of service management systems
- **ISO 22301: Societal security — Business continuity management systems --- Requirements**

- ISO/IEC TS 17021-6: Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 6: Competence requirements for auditing and certification of business continuity management systems
- **ISO 13485: Medical devices — Quality management systems — Requirements for regulatory purposes**
- **ISO 50001: Energy management systems — Requirements with guidance for use**
 - ISO 50003: Energy management systems — Requirements for bodies providing audit and certification of energy management systems
- **ISO 37001: Anti-bribery management systems — Requirements with guidance for use**
 - ISO/IEC TS 17021-9: Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 9: Competence requirements for auditing and certification of anti-bribery management systems
- **ISO 14065: Greenhouse gases — Requirements for greenhouse gas validation and verification bodies for use in accreditation or other forms of recognition**
 - ISO 14066: Greenhouse gases — Competence requirements for greenhouse gas validation teams and verification teams
 - ISO 14064-1: Greenhouse gases — Part 1: Specification with guidance at the organization level for quantification and reporting of greenhouse gas emissions and removals
 - ISO 14064-2: Greenhouse gases — Part 2: Specification with guidance at the project level for quantification, monitoring and reporting of greenhouse gas emission reductions or removal enhancements
 - ISO 14064-3: Greenhouse gases — Part 3: Specification with guidance for the validation and verification of greenhouse gas assertions
- **ISO 55001: Asset management — Management systems — Requirements**
 - ISO/IEC TS 17021-5: Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 5: Competence requirements for auditing and certification of asset management systems
- **ISO 29990: Learning services for non-formal education and training — Basic requirements for service providers**
- **ISO 20121: Event sustainability management systems — Requirements with guidance for use**
 - ISO/IEC TS 17021-4: Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 4: Competence requirements for auditing and certification of event sustainability management systems

- **ISO 39001: Road traffic safety (RTS) management systems - Requirements with guidance for use**
 - ISO/IEC TS 17021-7: Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 7: Competence requirements for auditing and certification of road traffic safety management systems
- **ISO 37101: Sustainable development in communities — Management system for sustainable development — Requirements with guidance for use**
 - ISO/IEC WD TS 17021-8 (currently under development): Conformity assessment -- Requirements for bodies providing audit and certification of management systems -- Part 8: Competence requirements for auditing and certification of management systems for sustainable development in communities
- **ISO 41001: Facility management — Management systems — Requirements with guidance for use**
 - ISO/IEC DTS 17021-11 (currently under development): Requirements for bodies providing audit and certification of management systems -- Part 11: Competence requirements for auditing and certification of Facility Management Systems