ACCREDITATION CRITERIA FOR
BUILDING DEPARTMENTS/CODE ENFORCEMENT AGENCIES

AC251
February 2015
(Effective April 1, 2015)


PREFACE

The attached accreditation criteria has been issued to provide all interested parties with guidelines on
implementing performance features of the applicable standards referenced herein. The criteria was
developed and adopted following public hearings conducted by the International Accreditation Service,
Inc. (IAS), Accreditation Committee and is effective on the date shown above. All accreditations issued
or reissued on or after the effective date must comply with this criteria. If the criteria is an updated
version from a previous edition, solid vertical lines (|) in the outer margin within the criteria indicate a
technical change or addition from the previous edition. Deletion indicators (→) are provided in the outer
margins where a paragraph or item has been deleted if the deletion resulted from a technical change.
This criteria may be further revised as the need dictates.

IAS may consider alternate criteria provided the proponent submits substantiating data demonstrating
that the alternate criteria are at least equivalent to the attached criteria and otherwise meet applicable
accreditation requirements.

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ACCREDITATION CRITERIA FOR
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1.0 INTRODUCTION

1.1 Scope: This criteria sets forth requirements for obtaining and maintaining International Accreditation Service, Inc. (IAS), accreditation of governmental agencies responsible for enforcement of building and construction laws or other jurisdictional ordinances relating to enhancing the quality of life within their jurisdictions, such as fire, zoning or traffic laws.

1.2 References and Normative Documents: Publications listed below refer to current editions (unless otherwise stated), current editions of related construction codes published by the International Code Council or codes duly adopted by the relevant jurisdiction.


1.2.2 ISO/IEC Standard 17020, General Criteria for the Operation of Various Types of Bodies Performing Inspection.

1.2.3 Building Department Administration, by Robert E. O’Bannon, published by the International Code Council.

1.2.4 Establishing Building Permit Fees (2nd Edition), by Michael Bouse, published by the International Code Council and International Accreditation Service, Inc.

1.2.5 IAS Rules of Procedure for Building Department/Code Enforcement Agency Accreditation.

1.2.6 National Flood Insurance Program (NFIP) Regulations 44C.F.R Parts 59 and 60.

1.2.7 IAS Policy on Accreditation Certificate Validity.

2.0 DEFINITIONS

2.1 Accreditation: Formal third-party recognition that a body fulfills specified requirements and is competent to carry out specific conformity assessment and regulatory tasks.

2.2 Accreditation Committee: A committee of government officials appointed by the IAS Board of Directors to monitor the work of and to develop accreditation criteria for IAS.

2.3 Accreditation Review Committee (ARC): A committee established by the IAS Board of Directors to render accreditation decisions on the IAS Building Department/Code Enforcement Agency Accreditation program.

2.4 Alternate Materials and Methods of Construction: A material, design or method of construction that has been approved where the authority having jurisdiction finds that the proposed design or product is satisfactory and complies with the intent of the provisions of the code.

2.5 Appeal: Request for reconsideration of any administrative decision by the department related to its enforcement authority. Administrative decisions include:

\begin{itemize}
  \item refusal to accept an application for issuance of permit;
  \item refusal to proceed with plan check or inspections;
  \item corrective action requests;
  \item refusal to agree with the designer’s code interpretation;
  \item decisions to deny, suspend or halt construction work;
  \item any other action that impedes the attainment of a permit for construction or certificate of occupancy.
\end{itemize}

2.6 Applicant: An individual or corporation applying for a building construction permit or plan review in accordance with local codes or other normative documents.

2.7 Approved: Acceptable to the official having jurisdiction.

2.8 Approved Agency: An established and recognized agency regularly engaged in conducting tests or furnishing inspection services, when such agency has been approved. Accreditation by the International Accreditation Service as a testing laboratory or inspection agency meets the intent of IBC Section 1702.1 relative to approved agencies.

2.9 Building: Any structure used or intended to support or shelter any use or occupancy.

2.10 Building Code Administrator/Building Official/Code Official: The officer or other designated authority charged with the administration and enforcement of codes as adopted in their jurisdiction.

2.11 Building Department/Code Enforcement Agency: Authoritative body which performs functions related to enforcement of construction and other laws.

2.12 Certified Contractor: Any contractor who possesses a certificate of competency issued by state regulators and who is allowed to contract in any jurisdiction in the state without being required to fulfill the competency requirements of that jurisdiction.

2.13 Complaint: Expression of dissatisfaction, other than appeal, by any person or organization, concerning some matter related to the department, where a response is expected.

2.14 Construction Documents: Written, graphic and pictorial documents prepared or assembled to describe the design, location and physical characteristics of a building project.

2.15 Contract Staff: A third-party individual or entity hired by the local jurisdiction to perform plan review and/or inspection services.

2.16 Control: The direction, regulation and coordination of procedures and related documents to assure consistency of operations.
2.17 External/Internal Pressures and Influences: Interference with due process of code enforcement by persons in a position of power (such as elected officials).

2.18 Historic Buildings: Buildings that are listed in or are eligible for listing in the National Register of Historic Places, or designated as historic under appropriate state or local law.

2.19 Internal Quality Audits: Internal studies to identify the extent to which documented procedures are followed and the effectiveness of current processes.

2.20 Jurisdiction: The territory over which the authority to enforce adopted codes is exercised.

2.21 Management or Operational Audits: Independent evaluations conducted by a qualified entity, at the request of the elected or appointed officials, to measure the operational consistency and overall efficiency of the department/code enforcement agency.

2.22 Management Reviews: Reviews performed by management of internal audit findings (including quality audits) to assess the organization’s level of procedural conformance, identify and correct areas of nonconformance and inefficiency, and engage staff in improving processes and procedures.

2.23 Permit: An official document issued by the authority having jurisdiction which authorizes performance of a specified activity.

2.24 Quality Assurance Plan: Documents which set forth the policies and practices aimed at ensuring the quality of the organization’s services through the observation of work in progress or sampling of completed work.

2.25 Quality Assurance Program: The agency’s system for maintaining minimum quality levels of service through a collection of self-imposed standards through activities such as internal quality audits, document creation and control, management reviews, etc.

2.26 Registered Contractor: Any contractor who has registered with the appropriate state agency pursuant to fulfilling the competency requirements in the jurisdiction for which the registration is issued. Registered contractors may contract only in such jurisdictions.

2.27 Registered Design Professionals: Individuals registered or licensed to practice their respective design professions as defined by the statutory requirements of the professional registration laws of the state or jurisdiction in which the project is to be constructed.

2.28 Service Goals: Goals set for performance in each area of service offered by the building department or code enforcement agency. Goals must be quantified (expressed as a number, rating or grade) and established in cooperation with users of department services (citizens, architects, engineers, contractors, etc.) as well as elected and appointed officials. A system must be in place to regularly measure progress in meeting service goals. As part of this system, targets should be established for improvements in three separate areas of overall service: timeliness (turnaround time); quality (error rate); and professionalism (quality of interactions with staff [e.g., knowledge, attitude, responsiveness and helpfulness of staff members] as perceived by users of department services).

2.29 Special Inspection: Inspection as herein required of the materials, installation, fabrication, erection or placement of components and connections requiring special expertise to ensure compliance with approved construction documents and referenced standards (see IBC Section 1704). Special inspection agencies are required to demonstrate competence, to the satisfaction of the building or other code official, for inspection of the particular type of construction or operation requiring special inspection. IAS-accredited special inspection agencies satisfy the requirements of Section 1702 of the IBC.

2.30 Structure: That which is built or constructed (see “Building”).

2.31 Third Party: A competent, independent entity approved by the building or other code official having jurisdiction to perform specified tasks.

3.0 CODE ENFORCEMENT—ADMINISTRATION

Information on items noted in Sections 3.2 through 3.5 must be submitted.

3.1 General Information

Applicant shall complete and submit a Jurisdictional Profile form which is part of the application for accreditation.

3.2 General Operations

3.2.1 Department shall define its responsibility for code enforcement and administration of zoning, transportation, storm-water, floodplain management, utilities (water and sewer), landscaping, fire inspections, contractor licensing, occupational licensing, etc.

3.2.2 Department shall demonstrate its participation in coordination of work if zoning, transportation, storm-water, floodplain management, fire inspections, contractor licensing, occupational licensing, etc., are under a separate department, and explain how these approvals are coordinated.

3.2.3 Department shall demonstrate that its preparations are coordinated with other departments to respond after natural hazard events to identify damaged buildings and conduct safety inspections.

3.2.4 If there is work done on contract for other jurisdictions by local agreements, the department shall provide copies of such contracts.

3.2.5 Department shall demonstrate the existence of policies or statutes in place which provide code officials freedom from external/internal pressures and influences (as defined in Section 2.17) that may impair the enforcement of codes.

3.2.6 Department shall provide documented evidence of steps taken to avoid potential conflicts of interest.

3.2.7 Department shall provide evidence of establishment of standard operating procedures; details of the process in place to control uniformity of operating
records. Department shall demonstrate permanent retention times for records, and provide evidence of safe storage of records. Department shall demonstrate permanent retention of certifications required for permits issued in flood hazard areas.

3.2.9 Department shall provide evidence of control of access to records: proof of adequate safeguards in place to prevent unauthorized access or modifications to records.

3.2.10 Department shall provide evidence of a comprehensive quality assurance program (as defined in Section 2.25) which shall contain, at a minimum, the following components:

3.2.10.1 Frequent quality assurance activities (as defined in Sections 2.24 and 2.28).

3.2.10.2 Annual, or more frequent, internal quality audits (as defined in Section 2.19).

3.2.10.3 Management review meetings (as defined in Section 2.22).

3.2.11 Department shall furnish copies of reports of management or operational audits (as defined in Section 2.20) conducted within the past six years, if any.

3.2.12 Department shall furnish a copy of their most recent report of a FEMA or State Community Assistance Visit and provide documentation of follow-up actions, if such actions were identified in the report.

3.2.13 Department shall provide adequate facilities and equipment to employees and contract personnel (i.e., books; manuals; and tools, gauges, meters and equipment used for plan review and inspection functions) and describe how defective equipment is replaced.

3.2.14 Department shall describe method of identification and calibration of available tools and equipment used, as applicable.

3.2.15 Department shall provide list of available transportation equipment and provide policies on use and maintenance.

3.2.16 Details on relevant computer software and programs in use shall be provided.

3.2.17 Availability of information technology (IT) support shall be demonstrated.

3.2.18 Evidence of validation procedure for computer programs used for plan checking (e.g., hand calculations) shall be provided.

3.2.19 Evidence of availability of, and policies guiding appropriate employee and contract personnel use of, wireless voice and data communication, such as cell phones, wireless networks, etc., shall be demonstrated.

3.2.20 Building department's access to legal counsel and prosecution support shall be demonstrated.

3.2.21 Awareness programs and community outreach activities shall be conducted by the department.

3.2.22 Description of all documents available to the public through the department shall be provided.

3.2.23 Description of innovative practices, if any, shall be provided.

3.2.24 Department shall provide copies of contracts with third-party firms, or individuals, for any activities that are outsourced, if applicable. These firms and individuals must operate under the building department’s management system and other statutory/regulatory requirements applicable to the specific project(s).

Department shall provide description of how permit applicants are made aware that activities may be outsourced.

3.3 Staff Information: The building department shall have a sufficient number of permanent or contract staff with the range of expertise to carry out its normal functions and shall provide:

3.3.1 Organizational chart providing employee names and titles for all full- and part-time staff positions within the code enforcement organization; total number of employees.

3.3.2 Job descriptions of full-time and part-time staff positions, including information on minimum qualifications, education, training, technical knowledge, skills, experience, and certification/licensing requirements. Detailed criteria on qualification/certification and/or licensing requirements for contract staff, if applicable.

3.3.3 List of additional qualification requirements over and above the state or local requirements, if any, such as P.E. license, contractor license, Certified Floodplain Manager, etc., and shall demonstrate that staff meet those additional requirements.

3.3.4 Methods of hiring, training and supervising staff; and methods of hiring, training and supervising of contract staff, if applicable.

3.3.5 Verification of individuals' qualifications, education, etc.

3.3.6 Current standings, and expiration dates, of required certification(s) issued by a national construction code promulgation organization, such as the International Code Council, or other acceptable certification organization, as determined by local ordinance or state laws, for certified staff. Jurisdictions located in coastal areas and flood plains must provide information on the number of inspectors holding ICC Coastal and Flood Plain Construction Inspector certifications.

3.3.7 At a minimum, annual performance evaluations for the establishment of clearly defined performance goals.

3.3.8 Documentation and tracking of state-mandated and locally mandated minimum continuing education requirements; and information on jurisdictional continuing education requirements, over and above state and local minimum requirements.

3.3.9 Demonstration of the participation of individual chief code officials, plan reviewers, and inspectors in code development activities.
3.3.10 Training requirements for performing post-disaster assessments and making substantial damage determinations in flood hazard areas; evidence that staff has met training requirements.

3.3.11 Evidence of employment of adequate number of plan reviewers, by category; i.e., single-trade, such as structural, mechanical, plumbing, electrical, etc., or multi-trade.

3.3.12 Information on registered design professionals (i.e., on staff, full or part time).

3.3.13 Documentation of an adequate number of inspectors currently employed by category; i.e., single-trade, such as structural, mechanical, electrical, etc., or multi-trade inspectors.

3.3.14 Number and types of contract and private inspectors employed, i.e., single-trade inspectors, multi-trade inspectors.

3.3.15 Percentage of plan reviews and inspections completed by contract staff.

3.3.16 Documentation of bonding requirements for contract plan reviewers, if any.

3.4 Permitting Information

3.4.1 Process for issuance of permits shall be documented.

3.4.2 Coordination of permitting process with other government departments shall be documented.

3.4.3 Process for establishment of permit and related fees and policies related to fee assessment and collection shall be documented.

3.4.4 Number of permits issued in the last 12 months, by category, shall be provided for:

3.4.4.1 Residential Permits: new, alterations, repairs, additions; single family and multifamily.

3.4.4.2 Commercial Permits: new, alterations, repairs, additions.

3.4.4.3 Construction Type: number of permits issued for each construction type and occupancy classification (including mixed-use occupancies).

3.4.4.4 Permit Type: number issued by category, such as building, mechanical, electrical, plumbing, fuel gas, etc., or combination/master permits.

3.4.4.5 Flood Hazard Areas: number of permits for new buildings and substantially improved buildings issued in the last 12 months.

3.4.5 Square footage most typical of buildings permitted in the past 12 months; square footage of the largest building permitted in the last 12 months; and story height of the tallest building permitted in the last 12 months shall each be identified.

3.4.6 Information on historic buildings: details of permit process, number of permits issued in the last 12 months, shall be provided.

3.4.7 Service goals for permitting, established with stakeholder input (as defined in Section 2.28), and regular monitoring of performance toward meeting stated goals shall be provided.

3.4.8 A procedure and process for dealing with expired or inactive permits shall be in place and documented.

3.5 Department Budget

3.5.1 Budget for code enforcement for the past fiscal year — Revenue versus Expenditure shall be provided.

3.5.2 Operating budget type, i.e., General Fund, Enterprise Fund, other means of funding, shall be provided.

3.5.3 Financial audit method, i.e., internal or third-party, shall be stated.

3.5.4 Description of liability exposure/protection for building department operations, i.e., self-insured, exemption by sovereign immunity, others, shall be provided.

4.0 CONSTRUCTION CODES

The following details are necessary:

4.1 Evidence of adoption of current national construction codes (i.e., within the last two published editions) or a state-mandated code based on a current national construction code shall be provided.

Note: The accreditation certificate for accredited agencies will reflect the code version effective during on-site evaluation.

4.2 Procedures followed for local amendments to any administrative provisions of the building code, fire code and related construction codes shall be documented.

4.3 Procedures followed for local amendments to any technical provisions of the building code, fire code and related construction codes shall be documented.

5.0 PLAN REVIEWS

The following information is necessary:

5.1 Policies, procedures and checklists for plan reviews shall be documented.

5.2 Number of reviews done annually, by category, such as residential buildings, commercial buildings, site development plan reviews, and others, such as fire sprinklers, alarms, etc., shall be tracked.

5.3 Description of system used for tracking and coordinating plan review activities shall be provided.

5.4 Department requirements for review of building plans for structural parameters shall be provided.

5.5 Procedures for partial plan approvals and deferred submittals, if offered by the jurisdiction, shall be documented.

5.6 Number of plan reviews done by department staff in the last 12 months that resulted in rejection or correction of designs: residential; commercial shall be tracked. Number of plan reviews done by contract staff in the last 12 months, if any, that resulted in rejection or correction of designs: residential; commercial shall be tracked.
5.7 Typical reasons for rejections or correction of designs shall be tracked.

5.8 Policy and procedure for approving alternate materials and methods of construction shall be documented and followed.

5.9 Service goals for plan review shall be established with stakeholder input (as defined in Section 2.28) and performance toward meeting stated goals shall be monitored regularly. A quality (error rate) service goal with no life-safety errors shall be established.

6.0 VERIFICATION OF PROFESSIONAL CREDENTIALS/LICENSES

Details on the following are required:

6.1 Process for verifying builder and contractor licenses and insurance shall be documented and followed.

6.2 Process for verification of licenses of registered design professionals shall be documented and followed.

6.3 Process for verification of special inspector/special inspection agency credentials shall be documented and followed.

7.0 INSPECTIONS

The following details are necessary:

7.1 Policies and procedures for inspections shall be documented. Use of checklists or inspection results reporting shall be documented.

7.2 Number and types of inspections done in the last 12 months, by category, such as structural, mechanical, plumbing, electrical, fuel gas, fire and others shall be tracked.

7.3 Rejections and corrections for inspections shall be tracked on an individual inspector basis.

7.4 Typical reasons for rejections and corrections shall be tracked.

7.5 Procedures for overseeing work done by private inspection providers hired by owners shall be documented.

7.6 Use of and compliance with IBC Chapter 17, Special Inspections, shall be demonstrated unless otherwise excluded from adopted code.

7.6.1 Use of and requirements for special inspectors, structural observers, approved fabricators/welders shall be documented.

7.6.2 Accreditation requirements or field monitoring of special inspection agency work shall be documented.

7.6.3 Procedures for acceptance of approved fabricators shall be documented.

7.6.4 Procedures for approving third-party inspection and testing agencies shall be documented.

7.6.5 Special inspector reporting requirements shall be documented.

7.7 Procedures for final inspections conducted by the department shall be provided; in flood hazard areas, procedures for collection of as-built elevation certificates prior to Certificate of Occupancy shall be provided.

7.8 Clear, concise and accurate reporting of inspection results shall be in place. Procedures to guard against the alteration of inspection report records shall be documented.

7.9 Service goals for inspection shall be established with stakeholder input (as defined in Section 2.28) and performance toward meeting stated goals monitored regularly. A quality (error rate) service goal with no life-safety errors shall be established.

8.0 COMPLAINTS AND APPEALS

Details on the following are required:

8.1 There shall be a documented procedure in place to record, investigate and resolve complaints against the department or complaints about contractors, work without permits and other similar violations.

8.2 There shall be evidence of the establishment of, and rules of procedure for, board of appeals as required by Section 112 of the IBC or Section 108 of the International Fire Code; or documented procedure for hearing and deciding appeals in accordance with other adopted national construction codes.

9.0 CERTIFICATE OF OCCUPANCY

There shall be a documented procedure for the issuance of Certificate of Occupancy (CO) or Certificate of Completion (CC) or temporary certificate of occupancy.

10.0 ON-SITE EVALUATIONS AND ACCREDITATION

On-site evaluations are required to determine the degree of compliance with the accreditation criteria. A team of trained evaluators and subject matter experts, led by an IAS-approved evaluation team leader, shall visit the jurisdiction to conduct a thorough review of the organization and its code-enforcement practices. The evaluation team will interview leadership (such as mayor, city manager, city attorney, or county administrator), other department heads, staff and stakeholders.

10.1 Pre-evaluation: Prior to scheduling the full on-site evaluation, IAS will schedule a two-day visit to the applicant building department/code enforcement agency by the lead evaluator and a subject matter expert(s), to review and comment on its degree of compliance with the AC251 criteria.

10.2 Full Evaluation: In consultation with the applicant building department/code enforcement agency, the team leader schedules the full on-site evaluation by a team of trained peer evaluators to verify compliance with the accreditation criteria.

10.3 Follow-up Evaluation: In some cases, the team leader may recommend to IAS Chief Technical Officer that a follow-up evaluation take place to verify implementation of corrective measures taken by the applicant agency in response to the full evaluation. Typically, follow-up evaluations include the team leader and another member for one or two days on-site.

10.4 Full Accreditation: Once the team leader indicates, through documented evidence, that the agency
is in full compliance with the requirements of this criteria, the applicant agency is placed on a list for final review by the IAS Accreditation Review Committee (ARC) for issuance of the formal accreditation certificate.

10.5 **Conditional Accreditation:** At the discretion of the ARC, conditional accreditation may be granted to an applicant who is substantially in compliance with the accreditation criteria and who has shown evidence of a clear action plan to address unresolved evaluation comments/concerns. The terms of conditional accreditation shall be determined by the ARC. Conditional accreditation shall not exceed one year. Following the on-site surveillance evaluation at the conclusion of the initial year of accreditation, the ARC will re-evaluate the agency's accreditation status.

10.6 **Surveillance Evaluation:** Accredited agencies will be subject to an on-site surveillance evaluation at the conclusion of the initial year of accreditation.

11.0 **ANNUAL REPORTS AND RE-EVALUATION**

To maintain accredited status, the accredited agency must, at all times, be in compliance with the rules of procedure and the accreditation criteria. Annual reports, addressing key elements of the criteria, must be prepared by the accredited agency and submitted to IAS at the end of the second year of the first three-year accreditation cycle and at the end of the first and second years of each subsequent three-year accreditation cycle. Submitted information must also include changes in key staff, changes in facilities or operating procedures, or any problems that could potentially impact the agency's accredited status.

At the end of every three-year term commencing from the initial date of accreditation, the accredited agency will be subject to on-site full re-evaluation by IAS.